

## About EthicsPoint

EthicsPoint, a leading provider of enterprise risk awareness solutions, helps organizations align their governance, risk and compliance (GRC) processes with overall business strategy. We protect corporate cultures and reputations by proactively revealing risk-related trends via the collection, management and understanding of their GRC-related data. More than 2,400 organizations currently use our solutions to increase the quality of GRC data reported to boards and executive teams to facilitate better business decisions.



### Innovations

EthicsPoint was founded in 1999 as a Governance, Risk, and Compliance (GRC) vendor leveraging expertise in fraud, ethics and technology. Guided by the Sarbanes-Oxley Act and the Federal Government's Organizational Sentencing Guidelines, EthicsPoint initially revolutionized incident reporting with the first integrated telephone- and web-based hotline mechanism.

Since then, we have continued to advance industry processes, as evidenced by the following GRC-industry innovations:

1. First hotline vendor to integrate web-based reporting options with that of a telephony-based hotline
2. First company to integrate case management services with that of the hotline, enabling clients to effectively collect reports of potential misconduct as well as – through adaptive and flexible workflow – consistently and efficiently investigate, document and ultimately resolve these cases
3. First company to add robust reporting and analytic tools to our solution, giving clients the opportunity to identify patterns and trends in the reported issues. This enables organizations to proactively mitigate risk within their operations by tailoring services to reflect the unique business risks facing their organizations
4. First and only company to provide customized web report forms to enable managers, supervisors, human resource personnel and others to quickly and easily enter reports/issues brought forward in face-to-face conversations, exit interviews, and the like into the system.
5. First company to offer reporting via mobile and handheld devices
6. First company to provide both software capability and professional services to address the unique needs of European Union data privacy laws with regards to internal employee investigations
7. First company to open a data center outside the US specifically to address the concerns foreign companies have in storing sensitive personal and confidential data within our borders, subject to the discovery provisions of the US Patriot Act.

**Vision:** Integrity is at the core of all we do and we aim to inspire the same within our clients, partners and stakeholders.

**Mission:** At EthicsPoint, we enable principled business decisions by providing solutions that increase awareness of organizational risk.

## Framework

Our Adaptive GRC Framework ties together one or more of the EthicsPoint products (epHotline, Issue & Event Manager, Visualization and Policy Manager), our Professional Services offerings, and a variety of third-party integrations to deliver solutions to specific governance, risk and compliance needs.

## Solutions

- **Case management:** Enterprise-wide incident and event management solution with analytics and compliance reporting
- **Whistleblower hotline management:** Enables your employees and other stakeholders to easily and confidentially report any issue or instance of misconduct
- **Third-party compliance management:** Aggregate vendor, supplier and agent information (risk-analyzed & ranked) together with targeted data gathered via partner content providers, into a centralized web-based repository summarized through a visualized display
- **Data privacy management:** Provides a configurable Data Privacy Module that enables adherence to all local data privacy rules and legislation
- **Retail loss prevention management:** Rapidly create electronic case records and improve the management of information regarding criminal activities, threats, acts of fraud, internal theft, shoplifting, accidents, human resource problems and other misconduct
- **Information security incident management:** Automates incident response plans, bridging the gap between data loss and breach notification procedures

## Clients

- More than 2,300 companies
- More than 15 million product stakeholders
- Over 6 million users in highly regulated industries
- 1 in 5 Fortune 100 companies
- 3 of the 4 top accounting firms
- 8 of the top 20 American banking and financial services institutes
- 9 of the largest retail organizations in the United States
- 5 of the largest US telecommunications firms
- 9 leading global insurance providers
- 4 top global telecommunication manufacturers
- Prominent healthcare and pharmaceutical companies
- More than 350 higher educational entities across the US
- Key energy and business service providers

## Awards:

### 2010

- **Ernst & Young Entrepreneur of the Year**, CEO David Childers named Finalist
- **Inc 5000** list of Fastest-Growing Private Companies in America, #117 software/ #1686 overall
- **Portland Business Journal** list of Oregon's 100 Fastest Growing Private Companies, #33

### 2009

- **Entrepreneurs Foundation of the Northwest**, Company of the Year: 2009 Building the Future Award
- **Inc 5000** list of Fastest-Growing Private Companies in America, #77 software/#1205 overall
- **Portland Business Journal** list of Oregon's Fastest Growing Technology Companies
- **Portland Business Journal** list of Oregon's 100 Fastest Growing Private Companies, #20
- **The Oregonian**, First Annual Silicon Forest Top 25 Technology Companies in region, #25

### 2008

- **Inc 5000** list of Fastest-Growing Private Companies in America, #47 software/#701 overall
- **Portland Business Journal** list of Oregon's Fastest Growing Technology Companies, #6
- **Portland Business Journal** list of Oregon's 100 Fastest Growing Private Companies, #20
- **Treasury & Risk Magazine**, David Childers named one of the "100 Most Influential People in Finance"

FOR MORE INFORMATION

To learn more about EthicsPoint:

[www.ethicspoint.com](http://www.ethicspoint.com) | 1-866-297-0224 | [sales@ethicspoint.com](mailto:sales@ethicspoint.com)

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integrity at work

6000 Meadows Road, Suite 200 | Lake Oswego, OR 97035